## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT:

Peter J. Sagona, Jonathan R. Freedman, Jean-Pierre Giraud

SERIAL NO.

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ATTY. DOCKET

62357.023706

TITLE

**FILED** 

ACTIVE FILM ADHERED TO FLEXIBLE PACKAGES

AND METHOD THEREOF

## **ELECTRONIC FILING CERTIFICATE**

Date of Deposit:

22 September 2006

I hereby certify that the following attached paper(s) and/or fee

- (1) Transmittal Letter DO/EO/US Form PTO-1390 (3 pgs),
- (2) Copy of Published PCT/US2005/010347 (7 pgs spec; 2 pgs cls.; 1 pg abstract; 2 pgs figs; tl 35 pgs)
- (3) Copy of International Search report (1 pg);
- (4) Information Disclosure Statement [(PTO/SB08A) w/complete copy of International Search Report; 6 pgs];

are being deposited electronically with the United States Patent Office to Addressee service under 37 C.F.R. Section 1.10 on the date indicated above and is addressed to the Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Respectfully submitted,

GREENBERG TRAURIG, LLP

Dated: 22 September 2006

Randi Flamenbaum Legal Assistant

CORRESPONDENCE:

Barry J. Schindler, Esq.

GREENBERG TRAURIG LLP

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Phone: 212-801-2244 Fax: 212-801-6400 PTO-1390 (Rev. 07-2005)
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| TF   | RANSMITTAL LETTER TO  | ATTORNEY'S DOCKET NUMBER<br>62357.023706 (CSP-0113US) |  |  |  |  |  |  |  |
|--|---|---|--|--|--|--|--|--|--|
| CO   | DESIGNATED/ELECTED<br>NCERNING A SUBMISSIC  | U.S. APPLICATION NO. (If known, see 37 CFR 1.5)       |  |  |  |  |  |  |  |
| INTERNA  | ATIONAL APPLICATION NO.   | PRIORITY DATE CLAIMED                                 |  |  |  |  |  |  |  |
|  | S2005/010347<br>FINVENTION  | 28 March 2005   | 26 March 2004                            |  |  |  |  |  |  |
| ACTIV  | ACTIVE FILM ADHERED TO FLEXIBLE PACKAGES AND METHODS THEREOF  |   |  |  |  |  |  |  |  |
| APPLICANT(S) FOR DO/EO/US SAGONA, Peter J.; FREEDMAN, Jonathan R.; GIRAUD, Jean-Pierre |   |   |  |  |  |  |  |  |  |
| Applicar   | Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: |   |  |  |  |  |  |  |  |
| 1.   | 1. This is a FIRST submission of items concerning a submission under 35 U.S.C. 371.   |   |  |  |  |  |  |  |  |
| 2.   |   | ubmission of items concerning a submission            |  |  |  |  |  |  |  |
| 3.   | l   | onal examination procedures (35 U.S.C. 37             | 1(f)). The submission must include items |  |  |  |  |  |  |
| 4.   | The US has been elected (Article 31).   |   |  |  |  |  |  |  |  |
| 5.   | A copy of the International Application   |   |  |  |  |  |  |  |  |
|  | a. 🚺 is attached hereto (required only if not communicated by the International Bureau).  |   |  |  |  |  |  |  |  |
|  | b has been communicated by the International Bureau.  |   |  |  |  |  |  |  |  |
| 6. 🗸   | c is not required, as the application was filed in the United States Receiving Office (RO/US).                                  |   |  |  |  |  |  |  |  |
| 6. 🔻   | An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).                                |   |  |  |  |  |  |  |  |
|  | a.  is attached hereto.   |   |  |  |  |  |  |  |  |
|  | b. has been previously submitted under 35 U.S.C. 154(d)(4).   |   |  |  |  |  |  |  |  |
| 7.   | Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))                            |   |  |  |  |  |  |  |  |
|  | a are attached hereto (required only if not communicated by the International Bureau).  |   |  |  |  |  |  |  |  |
|  | b. have been communicated by the International Bureau.  |   |  |  |  |  |  |  |  |
|  | c. have not been made; however, the time limit for making such amendments has NOT expired.                                      |   |  |  |  |  |  |  |  |
|  | d have not been made and wi   |   |  |  |  |  |  |  |  |
| 8.   | An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).                     |   |  |  |  |  |  |  |  |
| 9  | An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).  |   |  |  |  |  |  |  |  |
| 10.  | JAn English language translation of the Article 36 (35 U.S.C. 371(c)(5)).   | annexes of the International Preliminary Ex           | amination Report under PCT               |  |  |  |  |  |  |
| Items  | 11 to 20 below concern document(s)  | or information included:                              |  |  |  |  |  |  |  |
| 11.  | An Information Disclosure Statement u   | nder 37 CFR 1.97 and 1.98.                            |  |  |  |  |  |  |  |
| 12   | An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.               |   |  |  |  |  |  |  |  |
| 13.  | A preliminary amendment.  |   |  |  |  |  |  |  |  |
| 14.  | An Application Data Sheet under 37 CFR 1.76.  |   |  |  |  |  |  |  |  |
| 15. 🔲  | A substitute specification.   |   |  |  |  |  |  |  |  |
| 16   | A power of attorney and/or change of address letter.  |   |  |  |  |  |  |  |  |
| 17.  | A computer-readable form of the sequence listing in accordance with PCT Rule 13 <i>ter.</i> 2 and 37 CFR 1.821- 1.825.          |   |  |  |  |  |  |  |  |
| 18.  | A second copy of the published International Application under 35 U.S.C. 154(d)(4).   |   |  |  |  |  |  |  |  |
| 19   | A second copy of the English language   | translation of the international application u        | nder 35 U.S.C. 154(d)(4).                |  |  |  |  |  |  |

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Patent and Trademark Office, U.S. Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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| U.S. APPLICATION NO. (if known, see 37 CFR 1.5)   |             |                 |                    | see 37            | CFR 1.5               | PCT/US2004/03567  | PPLICATION NO.    | ATTORNEY'S DOCKET NUMBER<br>62357.022906 |               |  |
|---|-------------|-----------------|--------------------|-------------------|-----------------------|---|-------------------|--|---------------|--|
| 20. Other items or information:   |             |                 |                    |                   |                       |   |                   |  |               |  |
|   |             |                 |                    |                   |                       |   |                   |  |               |  |
| The fol   | lowing      | fees            | CALCULATIONS       | PTO USE ONLY      |                       |   |                   |  |               |  |
| 21. <b>√</b> Bas  | ic nati     | onal f          | ee (37 (           | CFR 1.4           | 492(a))               |   | \$300             | \$ 300                                   | TTO COL CIVET |  |
| 22. Exar  | minatio     | on fee          | 300                |                   |                       |   |                   |  |               |  |
| If the written opi  | nion pr     | repare          | \$                 |                   |                       |   |                   |  |               |  |
|   | /US In      | uicate          |                    |                   |                       |   |                   |  |               |  |
|   |             |                 |                    |                   |                       | 733513 31 7 31 Atticle 33(1)-(4                                   | \$200             |  |               |  |
| If the written opin   | nion of     | the IS          | CFR 1.4<br>SA/US d | or the li         | nternatio             | nal preliminary examination re                                    | enort prepared by |  |               |  |
| If the written opinion of the ISA/US or the International preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)   |             |                 |                    |                   |                       |   |                   |  |               |  |
| International Searching Authority   |             |                 |                    |                   |                       |   |                   | \$                                       |               |  |
| previous  | y comi      | epoπ  <br>munic | prepare<br>ated to | d by ar<br>the US | ISA oth<br>by the I   | ner than the US and provided t                                    | to the Office or  |  |               |  |
| previously communicated to the US by the IB   |             |                 |                    |                   |                       |   |                   |  |               |  |
| TOTAL OF 21, 22 and 23 =  |             |                 |                    |                   |                       |   |                   | 300                                      |               |  |
| sequenc   | e iistin    | ia in c         | ompliar            | ice with          | . 37 CFF              | ed in paper over 100 sheets (e<br>R 1.821(c) or (e) or computer p | excluding         |  |               |  |
| electioni   | c mea       | ium) (          | 3/ CFR             | (1492)            | 'ill                  | ts of paper or fraction thereof.                                  | <del>-</del>      |  |               |  |
|   |             |                 |                    |                   |                       |   |                   | 4 _                                      | 1             |  |
| Total Sheets  | Extra       | Shee            | ets                | Numbe<br>thereo   | r of each<br>f (round | n additional 50 or fraction<br>up to a whole number)              | RATE              | _  |               |  |
| 100 =   | 100 = 750 - |                 |                    |                   |                       |   | x \$250           | <b>1</b> s                               | 1             |  |
| Surcharge of \$130.00 for furnishing any of the search fee, examination fee, or the oath or declaration after the date of commencement of the national stage (37 CFR 1.492(h)). |             |                 |                    |                   |                       |   |                   | \$ 130                                   |               |  |
| CLAIMS  |             | NUMBER FILED    |                    |                   | D                     | NUMBER EXTRA  | RATE              | \$                                       |               |  |
| Total claims  |             |                 | 1                  | 6                 | - 20 =                | 0   | × \$ 50           | \$                                       |               |  |
| Independent claims  |             |                 |                    | - 3 =             | 0                     | × \$200   | \$                |  |               |  |
| MULTIPLE DEPENDENT CLAIM(S) (if applicable) 0 + \$360   |             |                 |                    |                   |                       |   |                   | \$                                       |               |  |
| TOTAL OF ABOVE CALCULATIONS =   |             |                 |                    |                   |                       |   | \$ <sub>430</sub> |  |               |  |
| ✓ Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2.   |             |                 |                    |                   |                       |   |                   |  |               |  |
| SUBTOTAL =  |             |                 |                    |                   |                       |   | \$ 215            |  |               |  |
| Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).                               |             |                 |                    |                   |                       |   | \$                |  |               |  |
| TOTAL NATIONAL FEE =  |             |                 |                    |                   |                       |   | \$ 215            |  |               |  |
| Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property          |             |                 |                    |                   |                       |   | \$                |  |               |  |
| TOTAL FEES ENCLOSED =   |             |                 |                    |                   |                       |   | \$ 215            |  |               |  |
|   |             |                 |                    |                   |                       |   |                   | Amount to be refunded:                   | \$            |  |
|   |             |                 |                    |                   |                       |   |                   | Amount to be                             | \$            |  |
|   |             |                 |                    | _                 |                       |   |                   | charged                                  |               |  |

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| b. Please charge my Deposit Account No. 501561 in the amount of \$ 2' A duplicate copy of this sheet is enclosed.  | to cover the above fees.                                       |  |  |  |  |  |
| The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 501561 . A duplicate copy of this sheet is enclosed.               |  |  |  |  |  |  |
| d. Fees are to be charged to a credit card. WARNING: Information on this form be included on this form. Provide credit card information and authorization  | n may become public. Credit card information should not        |  |  |  |  |  |
| NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status. |  |  |  |  |  |  |
| SEND ALL CORRESPONDENCE TO:  | SIGNATURE Barry J. Schindler  NAME 32,938  REGISTRATION NUMBER |  |  |  |  |  |